

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAN M. OZ, ET AL.

Application No.:

Filed: August 21, 2001

For: COMMUNICATION MANAGEMENT  
SYSTEM AND METHOD

Art Group: 2663

Examiner: Boakye, A.



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: \_\_\_\_\_

8/23/01

Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (408) 947-8200

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: .

Patricia A. Balero

8/23/01  
Date

Please type a plus sign (+) inside this box → +

PTO/SB/06A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
Application Number					
Filing Date		August 21, 2001			
First Named Inventor		Ran M. Oz			
Group Art Unit		2663			
Examiner Name		Boakye, A.			
Attorney Docket Number		5079P003D			
Sheet	1	of	2		

1c971 U.S. PTO  
 09/938445  
 08/23/01

U.S. PATENT DOCUMENTS

Exam. Initials	Cite No.	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
		4,829,372	05/09/89	McCalley et al.	358	86	
		5,541,9147	07/30/96	Farris	370	60.1	
		5,719,862	02/17/98	Lee et al.	370	355	
		5,742,761	04/21/98	Olnowich et al.	395	200.2	
		5,748,626	05/05/98	Esaki et al.	370	355	
		5,781,726	07/14/98	Pereira	395	200.3	
		5,940,598	08/17/99	Strauss et al.	395	200.79	

FOREIGN PATENT DOCUMENTS

Exam. Initials	Cite No.	Document Number	Date	Country	Class	Sub-class	Translation Yes	Translation No

Examiner Signature	Date Considered
--------------------	-----------------

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

→ +

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

Application Number

Filing Date

August 21, 2001

First Named Inventor

Ran M. Oz

### Group Art Unit

2663

Examiner Name

Boakye, A.

Attorney Docket Number

5079P003D

Sheet

2.

of

2

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

**Exam.**  
**Initials**

Cite  
No.

**Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.**

John T. Chapman, "Multimedia Traffic Engineering for HFC Networks," Cisco Systems (November 29, 1999) pg. 1-75

**Examiner  
Signature**

Date \_\_\_\_\_

**Considered**

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.